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PATENTS  
EL 799 703 369 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Caldwell, *et al.*)  
Serial No: 09/973,411 ) Examiner:  
Filed: October 9, 2001 ) Art Unit:  
For: Compounds Capable of Activating ) Docket No: T103 1300.4  
Cholinergic Receptors ) (Prev. Doc. No. 627-311CT3)

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants hereby voluntarily disclose the references listed on the attached Form PTO-1449 to the Assistant Commissioner for Patents. The items listed on the PTO-1449 were cited in parent applications Serial No. 09/641,496, filed August 18, 2000 (Abandoned); Serial No. 09/522,117, filed March 9, 2000 (Pending); or Serial No. 09/098,285, filed June 16, 1998 (Abandoned). Accordingly, no copies of references are required under 37 C.F.R. §1.98(d); however, copies will be furnished on request. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. §1.97 and Section 609 of the MPEP.

Applicants direct the Examiner's attention to the following pending applications:

U.S. Serial No. 08/631,761 entitled: *Pharmaceutical Compositions for Prevention and Treatment of Central Nervous System Disorders*.

U.S. Serial No. 08/804,250 entitled: *Pharmaceutical Compositions Incorporating Aryl Substituted Olefinic Amine*.

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Additionally, U.S. Serial No. 09/054,130 entitled: *Pharmaceutical Compositions and Methods for Use*, was mistakenly issued as 6,166,047 on April 4, 1998 and withdrawn on December 26, 2000.

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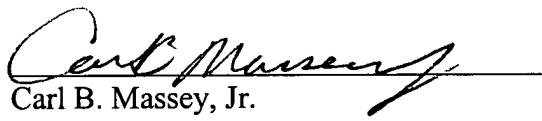
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Applicants further reserve the right to establish the patentability of the claimed invention over any of the listed information should they be applied as references, and/or to prove that some of the cited information may not be prior art, and/or to prove that some of the cited information may not be enabling for the teachings they purport to offer. Further, this statement should not be construed as the representation that an exhaustive search has been made, or that the information cited herewith is material, or that there does not exist information more material to the examination of the present Application. The Examiner is specifically requested not to rely solely on the information submitted herein. On the contrary, the Examiner is requested to conduct an independent and thorough review of the information, and to form independent opinions as to their significance.

It is respectfully requested that the Examiner initial and return copies of the enclosed PTO-1449 and to indicate in the official file wrapper of the above-identified patent application that each item of the cited information has been considered.

The Commissioner is hereby authorized to charge the required fee under 37 C.F.R. §1.17(p) of \$180.00 and to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 09-0528. A duplicate of this communication is provided to facilitate this Deposit Account authorization.

Date: June 17, 2002

  
Carl B. Massey, Jr.  
Attorney for Applicants  
Registration No. 44,224

Docket: T103 1300.4

Womble Carlyle Sandridge & Rice, PLLC  
Post Office Box 7037  
Atlanta, Georgia 30357-00378  
Telephone (336) 721-3681  
Facsimile(336) 726-8074



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## EXPRESS MAIL CERTIFICATE

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"Express Mail" mailing label number : EL 799 703 369 US JUN 28 2002

Date of Deposit : June 17, 2002 TECH CENTER 1600/2900

Type of Documents : Supplemental Information Disclosure Statement  
(in duplicate) (2 pages);  
Form PTO-1449 (3 pages); and  
Return Postcard

Serial No. : 09/973,411

Filing Date : October 9, 2001

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Diane H. Marshall (signature)  
Diane H. Marshall